

#14

**PE BIOSYSTEMS**  
A PE Corporation Business  
850 Lincoln Centre Drive  
Foster City, CA 94404-1128

**FAX TRANSMITTAL**

**Date:** November 5, 2001

**To:** Firmin Backer  
Examiner  
Group Art Unit 2155

**Company:** Patent and Trademark Office

**Fax No.:** 703-746-7238

**Telephone No.:** 703-305-0624

**From:** Cheri Gomez for Harry G. Thibault  
Legal Department  
**Fax No.:** 650-638-6677  
**Telephone No.:** 650-638-5039

**Subject:** U.S. Patent Application No.: 09/075,392  
**Filing Date:** May 8, 1998  
**Title:** Improvements in Thermal Cycler for PCR

**Case No.:** BT-4522/715-009180/4480C1

**No. of pages (including cover sheet):** 29

**Message:**

**Attached please find our Request to Rescind Holding of Abandonment for Failure to Receive Office Action along with Exhibits 1 - 3.**

**Note:** The information contained in this facsimile message may be attorney-client privileged and may contain confidential information intended only for the use of the individual named above, and others who have been specifically authorized to receive it. If you are not the intended recipient, you are hereby notified that any dissemination, distribution or copying of this communication is strictly prohibited. If you have received this communication in error, please notify me immediately by telephone (650) 638-6179 and return the original transmission to me by mail without making a copy. Thank you.

**Official**



*Duplicate  
Paper # 13*

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DEC 20 2001

DIRECTOR OFFICE  
TECHNOLOGY CENTER 2100

Case No. BT-4522/715-009180/4480C1

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

Atwood et al.

Serial No.: 09/075,392

Filed: May 8, 1998

For: IMPROVEMENTS IN THERMAL  
CYCLER FOR PCR

) Group Art Unit: 2155

) Examiner: Backer, F.

## CERTIFICATE OF FACSIMILE TRANSMISSION

I hereby certify that this correspondence is being filed with the United States Patent and Trademark Office by facsimile transmission to facsimile telephone number 703-305-3718, Attn: Examiner Firmin Backer, on August 8, 2001.

Signature: Cheri GomezName: Cheri GomezDate: August 8, 2001and again on Aug. 16, 2001Patricia D. Selan PATRI D. SELAN

→ Faxed to 703-746-7238 on 11/5/01 /REG

**Request to Rescind Holding of Abandonment  
for Failure to Receive Office Action**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

1. With reference to the Office Action allegedly mailed by the Patent Office on December 4, 2000, and the Notice of Abandonment mailed July 2, 2001, applicant respectfully requests that the abandonment be rescinded pursuant to the Notice entitled "Withdrawing the Holding of Abandonment When Office Actions Are Not Received" published at 1156 Official Gazette 53 (Nov. 16, 1993) as discussed in MPEP 711.03(c) (Rev. 1, Feb. 2000).

2. Applicant submits that this request is appropriate in light of the following:

- (a) On September 27, 1999, applicant notified the Patent Office of a change of correspondence address to

**RECEIVED**  
DEC 20 2001  
DIRECTOR OFFICE  
TECHNOLOGY CENTER 2100

Harry G. Thibault  
PE APPLIED BIOSYSTEMS DIVISION  
The Perkin-Elmer Corporation  
850 Lincoln Centre Drive  
Foster City, California 94404  
Telephone: 650.638.6014  
Facsimile: 650.638.6071

Copies of the address change and return receipt postcard date stamped 9/30/99 by the Patent Office are attached as Exhibit 1.

- (b) The Office action was not received by Applicant's attorneys. A search of the file jacket and docket records indicates that the action was never received.
- (c) Also enclosed as Exhibit 2 is a printout of a docket report showing all replies docketed for a date three months from the mail date of the nonreceived Office action. As can be seen, there is no entry for the nonreceived Office action.

3. Applicant respectfully requests that the Office action mailed December 4, 2000, be remailed with a new response date.

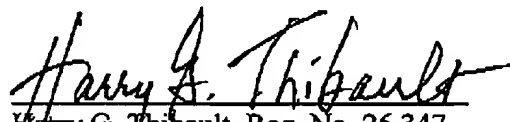
4. No fee is believed to be due for processing this Request. If otherwise, the Commissioner is hereby authorized to take such fee from Applied Biosystems Deposit Account No. 01-2213 (Order No. 4480C1).

5. As noted in the Request To Change Correspondence Address mailed June 19, 2001, a copy of which is attached as Exhibit 3, please send all future correspondence for this matter to:

David Aker  
Perman & Green, LLP  
425 Post Road  
Fairfield, CT 06430

Respectfully submitted,

Date: 8/8/01

  
Harry G. Thibault, Reg. No. 26,347  
Attorney for Applicants

CORRESPONDENCE ADDRESS

David Aker  
Perman & Green, LLP  
425 Post Road  
Fairfield, CT 06430  
Telephone: 203-259-1800  
Facsimile: 203-255-5170  
Customer No.: 2512

Nov-05-2001 04:34pm From-APPLIED BIOSYSTEMS LEGAL 2

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T-096 P.004

F-019

## **Exhibit 1**

Date Mailed: September 27, 1999

Case No.: 4480C1

Assistant Commissioner for Patents  
Washington, D.C. 20231

Applicants: Atwood et al.  
Serial No.: 09/075,392  
Filing Date: May 8, 1998  
For: IMPROVEMENTS IN THERMAL CYCLER FOR PCR



Enclosed are the following:

1. Certificate Under 37 CFR 3.73(b) and Associate Power of Attorney (2 pages);
2. Copy of Recordation of Assignment Document (10 pages);
3. Change of Correspondence Address of Application (1 page);
4. Request to Change Attorney Docker Number (1 page);
5. Certificate of Mailing; and
6. Return Postcard.

Case No. BT-4522

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

Atwood et al.

Serial No. 09/075,392

Filed: May 8, 1998

For: IMPROVEMENTS IN THERMAL  
CYCLER FOR PCR

) Art Unit: 2764

) Examiner: To Be Assigned

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## CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on

September 27, 1999  
(Date of Deposit)Twana Sanders  
Name of Depositing Party[Signature]  
Signature of Depositing Party

CERTIFICATE UNDER 37 CFR 3.73(b)  
and  
ASSOCIATE POWER OF ATTORNEY

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

The undersigned has reviewed all the documents in the chain of title of the above-identified patent application and, to the best of undersigned's knowledge and belief, title is in the assignee identified below. Attached hereto is a copy of the Notice of Recordation of Assignment Document and Assignment Document for this application.

The Perkin-Elmer Corporation, a New York Corporation, having its principal place of business at 761 Main Avenue, Norwalk, Connecticut, 06859, certifies that it is the assignee and owner of the entire right, title and interest in, to and under the invention described and claimed in the above-identified application, and hereby requests the Commissioner to recognize as Associate Attorney in this case:

Harry G. Thibault, Reg. No. 26,347

The undersigned, whose title is supplied below, is empowered to act on behalf of the assignee.

I hereby declare that all statements made herein of own knowledge are true, and that all statements made on information and belief are believed to be true; and further that these statements are made with the knowledge that willful false statements, and the like so made, are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Please direct all communications relative to said pending patent application to:

Harry G. Thibault  
PE APPLIED BIOSYSTEMS DIVISION  
The Perkin-Elmer Corporation  
850 Lincoln Centre Drive  
Foster City, California 94404  
Telephone: 650.638.6014  
Facsimile: 650.638.6071

The Perkin-Elmer Corporation

Date: September 23, 1999

By: 

Joseph H. Smith  
Vice President



UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office  
ASSISTANT SECRETARY AND COMMISSIONER  
OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

OCTOBER 16, 1998

PTAS

THE PERKIN-ELMER CORP.  
DAVID AKER  
761 MAIN AVENUE  
M/S 199  
NORWALK, CT 06859



\*100782

RECEIVED

OCT 26 1998

UNITED STATES PATENT AND TRADEMARK OFFICE  
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

PATENT LAW DEPT.

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 07/27/1998

REEL/FRAME: 9345/0899  
NUMBER OF PAGES: 8

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:  
ATWOOD, JOHN G.

DOC DATE: 07/14/1998

ASSIGNOR:  
FAWCETT, ADRIAN

DOC DATE: 07/16/1998

ASSIGNOR:  
FERRARA, KEITH S.

DOC DATE: 07/09/1998

ASSIGNOR:  
HETHERINGTON, PAUL M.

DOC DATE: 07/10/1998

ASSIGNOR:  
NOREIKS, RICHARD W.

DOC DATE: 07/09/1998

ASSIGNOR:  
OLSEN, DOUGLAS E.

DOC DATE: 07/09/1998

ASSIGNOR:  
WIDOMSKI, JOHN R.

DOC DATE: 07/17/1998



9345/0899 PAGE 2

ASSIGNOR:

WITTMER, CHARLES M.

DOC DATE: 07/09/1998

ASSIGNEE:

PERKIN-ELMER CORPORATION, THE  
761 MAIN AVENUE  
M/S 199  
NORWALK, CONNECTICUT 06859-0199

SERIAL NUMBER: 09075392

FILING DATE: 05/08/1998

PATENT NUMBER:

ISSUE DATE:

LAWAN FLETCHER, EXAMINER  
ASSIGNMENT DIVISION  
OFFICE OF PUBLIC RECORDS

M2D 1-2748

07-31-1998

Form PTO-1363  
1-27-98

JET

U.S. DEPARTMENT OF COMMERCE  
Patent and Trademark Office

Two savings 000 ▼

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To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

## 1. Name of conveying party(ies):

John G. Atwood, Adrian Fawcett, Keith S. Ferrara, Paul M. Hetherington, Richard W. Noreiks, Douglas E. Olsen, John R. Widonski and Charles M. Wittmer  
Additional name(s) of conveying party(ies) attached? ☐ Yes ☐ No

## 2. Name and address of receiving party(ies):

Name: The Perkin-Elmer Corporation

Internal Address: M/S 199

Street Address: 761 Main Avenue

City: Norwalk State: CT ZIP: 06859-0199

Additional name(s) & address(es) attached? ☐ Yes ☒ No

## 3. Nature of conveyance:

☒ Assignment☐ Merger☐ Security Agreement☐ Change of Name☐ Other

Execution Date: 7/14, 7/16, 7/9, 7/10, 7/9, 7/9,

7/17, 7/9/98

## 4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s)

09/075,392

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

## 5. Name and address of party to whom correspondence concerning document should be mailed:

Name: David Aker

Internal Address: M/S 199

Street Address: The Perkin-Elmer Corp.

761 Main Avenue

City: Norwalk State: CT ZIP: 06859

## 6. Total number of applications and patents involved: one

7. Total fee (37 CFR 3.41): \$ 40.00

☐ Enclosed☒ Authorized to be charged to deposit account

## 8. Deposit account number:

16-1335

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

## 9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

David Aker

Name of Person Signing  
Attorney for the Applicant  
Reg. No. 29,277

Signature

Date

Total number of pages comprising cover sheet

8

OMB No. 0631-0011 (exp. 4/94)

Do not detach this portion

Mail documents to be recorded with required cover sheet information to:

07/29/1998 DNGUYEN 00000150 161335 09075392

01 FC:581

40.00 CH

Commissioner of Patents and Trademarks  
Box Assignments  
Washington, D.C. 20231

BT-4522ASSIGNMENT

WHEREAS, We, John G. Atwood, Adrian Fawcett, Keith S. Ferrara, Paul M. Hetherington, Richard W. Noreiks, Douglas E. Olsen, John R. Widomski and Charles M. Wittmer, residing respectively at 149 Limekiln Rd., West Redding, CT 06896; 3606 W. Las Positas Boulevard, Pleasanton, CA 94588; 383 Woodstock Ave., Stratford, CT 06497; #103 - 10 Wild Oaks Rd., Goldens Bridge, NY 10526; 5300 Main Street, Stratford, CT 06614; 30 Lake Drive South RR3, New Fairfield, CT 06812; 10 Serene Drive, Shelton, CT 06484 and 15 Clarion Place, Trumbull, CT 06611 have invented certain new and useful improvements in IMPROVEMENTS IN THERMAL CYCLER FOR PCR for which We have executed an application for Letters Patent of the United States, concurrently herewith:

AND WHEREAS The Perkin-Elmer Corporation, a corporation of the State of New York, having a principal place of business at 761 Main Avenue, Norwalk, Connecticut 06859-0199, is desirous of obtaining the entire right, title and interest in, to and under the said improvements and the said application:

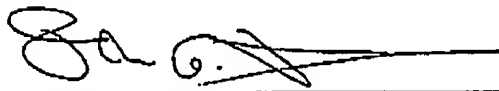
NOW, THEREFORE, in consideration of the sum of One Dollar (\$1.00) to us in hand paid, and other good and valuable consideration, the receipt of which is hereby acknowledge, We, the said John G. Atwood, Adrian Fawcett, Keith S. Ferrara, Paul M. Hetherington, Richard W. Noreiks, Douglas E. Olsen, John R. Widomski and Charles M. Wittmer; have, sold, assigned, transferred and set over, and by these presents do hereby sell, assign, transfer and set over, unto the said The Perkin-Elmer Corporation, its successors, legal representatives and assigns, the entire right, title and interest in, to and under the said improvements, and the said application and all divisions, renewals and continuations thereof, and all Letters Patent of the United States which may be granted thereon and all reissues and extensions thereof, and all applications for Letters Patent which may hereafter be filed for said improvements in any country or countries foreign to

the United States, and all Letters Patent which may be granted for said improvements in any country or countries foreign to the United States and all extensions, renewals and reissues thereof; and we hereby authorize and request the Commissioner of Patents and Trademarks of the United States and any Official of any country or countries foreign to the United States, whose duty it is to issue patents on applications as aforesaid, to issue all Letters Patent for said improvements to the said The Perkin-Elmer Corporation, its successors, legal representatives and assigns, in accordance with the terms of this instrument.

**AND WE HEREBY** covenant that We have full right to convey the entire interest herein assigned, and that We have not executed, and will not execute, any agreement in conflict herewith.

**AND WE HEREBY** further covenant and agree that We will communicate to the said The Perkin-Elmer Corporation, its successors, legal representatives and assigns, any facts known to us respecting said improvement, and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuing and reissue applications, make all rightful oaths and generally do everything possible to aid the said Corporation, its successors, legal representatives and assigns, to obtain and enforce proper patent protection for said improvements in all countries.

**IN TESTIMONY WHEREOF**, We hereunto set our hands and seal on the date indicated below.

  
\_\_\_\_\_  
John G. Atwood

July 14 1998  
Date

\_\_\_\_\_  
Witness

Adrian Fawcett

Date

Witness




Keith S. Ferrara

7-9-98

Date

Witness

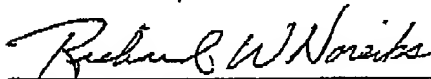


Paul M. Hetherington

7/10/98

Date

Witness



Richard W. Noreiks

7/9/98

Date

Witness

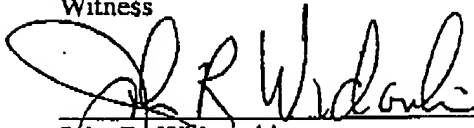


Douglas E. Olsen

7/9/98

Date

Witness

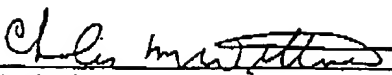


John R. Widomski

0717-98

Date

Witness

  
Charles M. Wittmer

July 9, 1998  
Date

\_\_\_\_\_  
Witness

JUL 16 '98 10:33 PERKIN-ELMER

203 761 2888 T-097

P.07/10

BT-4522ASSIGNMENT

WHEREAS, We, John G. Atwood, Adrian Fawcett, Keith S. Ferrara, Paul M. Hetherington, Richard W. Noreiks, Douglas E. Olsen, John R. Widomski and Charles M. Wittmer, residing respectively at 149 Limekiln Rd., West Redding, CT 06896; 3606 W. Las Positas Boulevard, Pleasanton, CA 94588; 383 Woodstock Ave., Stratford, CT 06497; #103 - 10 Wild Oaks Rd., Goldens Bridge, NY 10526; 5300 Main Street, Stratford, CT 06614; 30 Lake Drive South RR3, New Fairfield, CT 06812; 10 Serene Drive, Shelton, CT 06484 and 15 Clarion Place, Trumbull, CT 06611 have invented certain new and useful improvements in IMPROVEMENTS IN THERMAL CYCLER FOR PCR for which We have executed an application for Letters Patent of the United States, concurrently herewith:

AND WHEREAS The Perkin-Elmer Corporation, a corporation of the State of New York, having a principal place of business at 761 Main Avenue, Norwalk, Connecticut 06859-0199, is desirous of obtaining the entire right, title and interest in, to and under the said improvements and the said application:

NOW, THEREFORE, in consideration of the sum of One Dollar (\$1.00) to us in hand paid, and other good and valuable consideration, the receipt of which is hereby acknowledge, We, the said John G. Atwood, Adrian Fawcett, Keith S. Ferrara, Paul M. Hetherington, Richard W. Noreiks, Douglas E. Olsen, John R. Widomski and Charles M. Wittmer: have, sold, assigned, transferred and set over, and by these presents do hereby sell, assign, transfer and set over, unto the said The Perkin-Elmer Corporation, its successors, legal representatives and assigns, the entire right, title and interest in, to and under the said improvements, and the said application and all divisions, renewals and continuations thereof, and all Letters Patent of the United States which may be granted thereon and all reissues and extensions thereof, and all applications for Letters Patent which may hereafter be filed for said improvements in any country or countries foreign to

JUL 16 '98 10:33 PERKIN-ELMER

203 761 2888 TO 507

P.08/10

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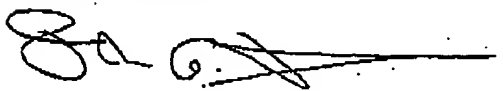
BT-4522

the United States, and all Letters Patent which may be granted for said improvements in any country or countries foreign to the United States and all extensions, renewals and reissues thereof; and we hereby authorize and request the Commissioner of Patents and Trademarks of the United States and any Official of any country or countries foreign to the United States, whose duty it is to issue patents on applications as aforesaid, to issue all Letters Patent for said improvements to the said The Perkin-Elmer Corporation, its successors, legal representatives and assigns, in accordance with the terms of this instrument.

AND WE HEREBY covenant that We have full right to convey the entire interest herein assigned, and that We have not executed, and will not execute, any agreement in conflict herewith.

AND WE HEREBY further covenant and agree that We will communicate to the said The Perkin-Elmer Corporation, its successors, legal representatives and assigns, any facts known to us respecting said improvement, and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuing and reissue applications, make all rightful oaths and generally do everything possible to aid the said Corporation, its successors, legal representatives and assigns, to obtain and enforce proper patent protection for said improvements in all countries.

IN TESTIMONY WHEREOF, We hereunto set our hands and seal on the date indicated below.

  
\_\_\_\_\_  
John G. Atwood

July 14 1998  
Date

\_\_\_\_\_  
Witness



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BT-4522

Adrian Fawcett  
Adrian Fawcett

7/16/98  
Date

Witness  
Keith S. Ferrera  
Keith S. Ferrera

7-9-98  
Date

Witness  
Paul M. Hetherington  
Paul M. Hetherington

7/10/98  
Date

Witness  
Richard W. Noreika  
Richard W. Noreika

7/9/98  
Date

Witness  
Douglas E. Olsen  
Douglas E. Olsen

7/9/98  
Date

Witness  
John R. Widomski  
John R. Widomski

Date

Witness

PTO/SB/122 (11-96)

Approved for use through 6/30/91999. OMB 0651-0035

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

CHANGE OF CORRESPONDENCE ADDRESS Application	Complete if Known	
	Application Number	09/075,392
	Filing Date	May 8, 1998
	First Named Inventor	John G. Atwood
	Examiner Name	To Be Assigned
	Group / Art Unit	2764
	Attorney Docket Number	BT-4522

Address to:  
Assistant Commissioner for Patents  
Washington, D.C. 20231

Please change the Correspondence Address for the above-referenced application to:

☐

Customer Number

Type Customer Number here

Place Customer  
Number Bar Code  
Label here

OR

<input checked="" type="checkbox"/> Firm or Individual Name	PE Applied Biosystems				
Address	850 Lincoln Centre Drive				
Address					
City	Foster City				
Country	USA	State	CA	Zip	94404
Telephone	650-638-6014		Fax	650-638-6071	

This form cannot be used to change the data associated with a Customer Number. To change the data associated with an existing Customer Number use "Request for Customer Number Data Change" (PTO/SB/124).

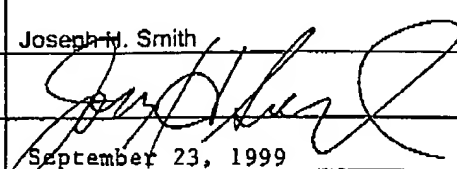
I am the:

☐

Applicant

☒Assignee of record of the entire interest.  
Certificate under 37 CFR 3.73(b) is enclosed.☐

Attorney or agent of record

Typed or Printed Name	Joseph H. Smith
Signature	
Date	September 23, 1999

Case No. 4480C1

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

Atwood et al.

Serial No. 09/075,392

Filed: May 8, 1998

For: IMPROVEMENTS IN THERMAL  
CYCLER FOR PCR

Art Unit: 2764

Examiner: To Be Assigned

## CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on

September 27, 1999

(Date of Deposit)

Jwana Sanders

(Name of Depositing Party)

Jwana Sanders

(Signature of Depositing Party)

REQUEST TO CHANGE ATTORNEY DOCKET NUMBERAssistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

Applicants hereby request that the attorney Docket Number for the above-captioned application be changed as follows:

Old Case No.: BT-4522;New Case No.: 4480C1

If any fee for this change is required, the Commissioner is authorized to charge such fee to Deposit Account No. 01-2213 (Order No. 4480C1). A duplicate sheet is enclosed.

Respectfully submitted,

Date: September 27, 1999

Harry G. Thibault  
Harry G. Thibault  
Attorney for Applicant  
Reg. No. 26,347

PE APPLIED BIOSYSTEMS DIVISION  
The Perkin-Elmer Corporation  
850 Lincoln Centre Drive  
Foster City, CA 94404  
(650) 638-6014 (Phone)  
(650) 638-6071 (Fax)

## **Exhibit 2**

Actions Due		Clear	Copy	Search	Save	Print	Delete	Undo	Exit																																
Case Number	4208	Country	EP	Sub Case																																					
Application #	053103222	Status	Published	European Patent Office																																					
Filing Date	03-03-1995	Action Type	EP-Office action	Action Base Date	04-Oct-2000																																				
				Response Sent Date	20-Nov-2000																																				
<table border="1"> <thead> <tr> <th>Action(s) Due</th> <th>Due Date</th> <th>Indicator</th> <th>Taken</th> </tr> </thead> <tbody> <tr> <td>1 Month to respond to O.A.</td> <td>04-Jan-2001</td> <td>Reminder</td> <td>20-Nov-2000</td> </tr> <tr> <td>Due date Respond O.A.</td> <td>04-Feb-2001</td> <td>Due Date</td> <td>20-Nov-2000</td> </tr> <tr> <td>Follow up to office action</td> <td>20-Feb-2001</td> <td>Due Date</td> <td>08-Feb-2001</td> </tr> <tr> <td>1st Ext. O.A.</td> <td>04-Mar-2001</td> <td>Due Date</td> <td>20-Nov-2000</td> </tr> <tr> <td>2nd Ext. O.A. FINAL</td> <td>04-Apr-2001</td> <td>Final</td> <td>20-Nov-2000</td> </tr> </tbody> </table>										Action(s) Due	Due Date	Indicator	Taken	1 Month to respond to O.A.	04-Jan-2001	Reminder	20-Nov-2000	Due date Respond O.A.	04-Feb-2001	Due Date	20-Nov-2000	Follow up to office action	20-Feb-2001	Due Date	08-Feb-2001	1st Ext. O.A.	04-Mar-2001	Due Date	20-Nov-2000	2nd Ext. O.A. FINAL	04-Apr-2001	Final	20-Nov-2000								
Action(s) Due	Due Date	Indicator	Taken																																						
1 Month to respond to O.A.	04-Jan-2001	Reminder	20-Nov-2000																																						
Due date Respond O.A.	04-Feb-2001	Due Date	20-Nov-2000																																						
Follow up to office action	20-Feb-2001	Due Date	08-Feb-2001																																						
1st Ext. O.A.	04-Mar-2001	Due Date	20-Nov-2000																																						
2nd Ext. O.A. FINAL	04-Apr-2001	Final	20-Nov-2000																																						
User ID	1012	Date Created	15-05-2000	Last Update	20-Feb-2001																																				
Case Number	4299	Country	US	Sub Case	C1																																				
Application #	05495115	Status	Pending	United States of America																																					
Filing Date	01-03-2000	Action Type	US-3 Month Office Action	Action Base Date	04-Oct-2000																																				
				Response Sent Date	01-Feb-2001																																				
<table border="1"> <thead> <tr> <th>Action(s) Due</th> <th>Due Date</th> <th>Indicator</th> <th>Taken</th> </tr> </thead> <tbody> <tr> <td>2 Month Due Date</td> <td>04-Dec-2000</td> <td>Reminder</td> <td>01-Feb-2001</td> </tr> <tr> <td>3 Month Due Date</td> <td>04-Jan-2001</td> <td>Due Date</td> <td>01-Feb-2001</td> </tr> <tr> <td>4 Month Due Date</td> <td>04-Feb-2001</td> <td>Reminder</td> <td>01-Feb-2001</td> </tr> <tr> <td>5 Month Due Date</td> <td>04-Mar-2001</td> <td>Reminder</td> <td>01-Feb-2001</td> </tr> <tr> <td>2 Weeks to Final Date</td> <td>19-Mar-2001</td> <td>Due Date</td> <td>01-Feb-2001</td> </tr> <tr> <td>6 Month Final</td> <td>04-Apr-2001</td> <td>Final</td> <td>01-Feb-2001</td> </tr> <tr> <td>US-3 Month O.A. follow up</td> <td>01-Jun-2001</td> <td>Due Date</td> <td>02-Jul-2001</td> </tr> </tbody> </table>										Action(s) Due	Due Date	Indicator	Taken	2 Month Due Date	04-Dec-2000	Reminder	01-Feb-2001	3 Month Due Date	04-Jan-2001	Due Date	01-Feb-2001	4 Month Due Date	04-Feb-2001	Reminder	01-Feb-2001	5 Month Due Date	04-Mar-2001	Reminder	01-Feb-2001	2 Weeks to Final Date	19-Mar-2001	Due Date	01-Feb-2001	6 Month Final	04-Apr-2001	Final	01-Feb-2001	US-3 Month O.A. follow up	01-Jun-2001	Due Date	02-Jul-2001
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6 Month Final	04-Apr-2001	Final	01-Feb-2001																																						
US-3 Month O.A. follow up	01-Jun-2001	Due Date	02-Jul-2001																																						

Use ID: PCTN-2	Date Created: 01-Dec-2000	Last Update: 03-Feb-2001
Case Number: PCTN-2	Country: WO	Sub Case: 01-Dec-2000
Application #: 6506386677	Status: Pending	Patent Cooperation Treaty: Pending
Filing Date: 03-Nov-2000	Priority Document Due: 04-Nov-1999	Response Sent Date: 01-Jan-1990
Action Type: Priority Document Due	Action Base Date: 04-Nov-1999	Indicator: Taken
Due Date: 04-Mar-2001	Due Date: 01-Jan-1990	
Priority Document Due		

Use ID: PCTN-2	Date Created: 01-Dec-2000	Last Update: 01-Dec-2000
Case Number: PCTN-2	Country: WO	Sub Case: 01-Dec-2000
Application #: 6506386677	Status: Pending	Patent Cooperation Treaty: Pending
Filing Date: 03-Nov-2000	Priority Document Due: 04-Nov-1999	Response Sent Date: 01-Jan-1990
Action Type: Priority Document Due	Action Base Date: 04-Nov-1999	Indicator: Taken
Due Date: 04-Mar-2001	Due Date: 01-Jan-1990	
Priority Document Due		

Action Type:	Record Copy Due	Action Base Date:	04-Nov-1999
		Response Start Date:	
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Action Due:	Due Date:	Due Date:	Due Date:
Record Copy Due	04-Mar-2001	01-Jan-1990	01-Jan-1990
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User ID:	Data Created:	Last Update:	01-Dec-2000
Case Number:	PCTN-2	Country:	WO
Application #:		Patent Cooperation Treaty:	
Filing Date:	06-Nov-2000	Status:	Pending
Action Type:	Search Copy Due	Action Base Date:	04-Nov-1999
		Response Start Date:	
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Action Due:	Due Date:	Due Date:	Due Date:
Search Copy Due	04-Mar-2001	01-Jan-1990	01-Jan-1990
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User ID:	Data Created:	Last Update:	01-Dec-2000
Case Number:	PCTN-2	Country:	WO
Application #:		Patent Cooperation Treaty:	
Filing Date:	06-Nov-2000	Status:	Pending

Action Type:	Search Report Due (from WIPO)	Action Base Date:	04-Nov-1999
		Response Sent Date:	
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1	Applicant's Due	Due Date	Indicator
Search Report Due (from WIPO)		04-Mar-2001	01-Jan-1990
<hr/>			
Use ID:	4391	Date Created:	01-Dec-2000
Case Number:	4391	Country:	US
Application #:	09/385230	United States of America	Sub-Case: D1
Filing Date:	27-Aug-1999	Status:	Granted
Action Type:	US Allowance	Action Base Date:	04-Dec-2000
		Response Sent Date:	21-Dec-2000
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2	Action's Due	Due Date	Indicator
Issue Fee Checklist Reminder	04-Jan-2001	Reminder	21-Dec-2000
Fee Due in 2 Months	04-Jan-2001	Reminder	21-Dec-2000
Fee Due in 1 Month	04-Feb-2001	Reminder	21-Dec-2000
Issue Fee Due	04-Mar-2001	Final	21-Dec-2000
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Use ID:	4391	Date Created:	18-Dec-2000
Case Number:	4391	Country:	US
Application #:	09/385230	United States of America	Sub-Case: D1
Filing Date:	27-Aug-1999	Status:	Granted



Action Type		US-Allowability Formal Drawing		Action Base Date		04-Dec-2000	
				Response Sent Date		21-Dec-2000	
3	Action(s) Due	Due Date	Indicator	Taken			
	Formal Drawings Due in 1 mo.	04-Feb-2001	Reminder	21-Dec-2000			
	Formal Drawings Due	04-Mar-2001	Due Date	21-Dec-2000			
	US-Allowability Follow Up Data	21-Jun-2001	Due Date	21-Dec-2000			

User ID	Date Created	Country	Last Update	Sub Date
305	18-Dec-2000	CA	29-Dec-2000	

Case Number	Application #	Filing Date	Status	Office Action	Action Base Date	Response Sent Date
4273	2219891	05-Apr-1995	Pending		04-Dec-2000	12-Mar-2001

Action(s) Due		Due Date		Indicator		Taken	
Response Due - Reminder		04-Mar-2001	Reminder	12-Mar-2001			
CA-Office Action Response Due		04-Apr-2001	Due Date	12-Mar-2001			
Office Action Follow Up Date		12-Sep-2001	Due Date	04-Apr-2001			

User ID	Date Created	Country	Last Update	Sub Date
7116	13-Feb-2001	US	12-Mar-2001	

Case Number	Application #	Filing Date	Status	Office Action	Action Base Date	Response Sent Date
4444	0931079	12-May-1999	Pending		04-Dec-2000	12-Mar-2001

Action Type	US-3 Month Office Action	Action Base Date	Response Sent Date
		04-Oct-2000	23-Feb-2001

Action(s) Due	Due Date	Indicator	Taken
2 Month Due Date	04-Dec-2000	Reminder	23-Feb-2001
3 Month Due Date	04-Jan-2001	Due Date	23-Feb-2001
4 Month Due Date	04-Feb-2001	Reminder	23-Feb-2001
5 Month Due Date	04-Mar-2001	Reminder	23-Feb-2001
2 Weeks to Final Date	19-Mar-2001	Due Date	23-Feb-2001
6 Month Final	04-Apr-2001	Final	23-Feb-2001
US-3 Month O.A. follow up	23-Jun-2001	Due Date	18-Jul-2001
Office Action follow up	23-Jul-2001	Reminder	18-Jul-2001

User ID	Date Created	Date Updated
0011111111	05-Feb-2001	23-Jul-2001

Country Application
Invention

Nov-05-2001 04:45pm From-APPLIED BIOSYSTEMS LEGAL 2

6506386677

T-096 P.027/029 F-019

## **Exhibit 3**

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re PATENT APPLICATION of: Atwood et al.

SERIAL NO.: 09/075,392 ART UNIT: 2781

FILED: 05/08/98 EXAMINER: F. Backer

TITLE: IMPROVEMENTS IN THERMAL CYCLER FOR PCR

ATTORNEY DOCKET NO.: 715-009180-US (C01)

Assistant Commissioner for Patents  
Washington, DC 20231

**REQUEST TO CHANGE CORRESPONDENCE ADDRESS**

Dear Sir:

This is a request to change the correspondence address for this application to:

David Aker  
Perman & Green, LLP  
425 Post Road  
Fairfield, CT 06430

Respectfully submitted,



David Aker, Reg. No. 29,277

6/19/01

Date

Perman & Green, LLP  
425 Post Road  
Fairfield, CT 06430  
Telephone: (203) 259-1800  
Facsimile: (203) 255-5170  
Customer No.: 2512

CERTIFICATE OF TRANSMISSION

I hereby certify that this correspondence is being facsimile transmitted to the Patent and Trademark Office (Fax No. (703) 305-3719) on the date noted below.

Caum Marsl

Name of Person Making Deposit

6/19/01

Date